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Attorney Docket No. 17810-518 (SCI-18)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Uchida et al.

SERIAL NUMBER:

10/649,234

EXAMINER:

Robert Clinton Hayes

FILING DATE:

August 27, 2003

ART UNIT:

1649

For:

ENRICHED CENTRAL NERVOUS SYSTEM STEM CELL AND PROGENITOR CELL POPULATIONS, AND METHODS FOR IDENTIFYING, ISOLATING AND ENRICHING FOR SUCH

POPULATIONS

MAIL STOP ISSUE FEE

P.O. Box 1450

Alexandria, VA 22313-1450

April 23, 2008

Boston, Massachusetts

TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- 1. Issue Fee Transmittal (1 page);
- Check No. 25511 in the amount of \$1,050.00 (Issue Fee \$720.00, Publication Fee 2. \$300.00, and Advance Copies of Patent \$30.00);
- 3. Return Postcard.

Although Applicants believe that no additional fees are due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to Deposit Account No. 50-0311, Reference No. 17810-518 (SCI-18). A duplicate copy of this Transmittal Letter is enclosed.

Respectfully submitted,

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25-08 Exp.#EV923371874US Date of Dep: 4/23/08 PART B - FEE(S) TRANSMITTAL Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 APR 2 3 2008 (571)-273-2885 INSTRUCTIONS/ This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate will further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as had been been directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 30623 7590 02/04/2008 Certificate of Mailing or Transmission MINTZ, LEVIN, COHN, FERRIS, GLOVSKY I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. AND POPEO, P.C. ONE FINANCIAL CENTER BOSTON, MA 02111 (Depositor's name (Signature (Date) APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE 10/649,234 08/27/2003 17810-518 (SCI-18) Nobuko Uchida TITLE OF INVENTION: ENRICHED CENTRAL NERVOUS SYSTEM STEM CELL AND PROGENITOR CELL POPULATIONS, AND METHODS FOR IDENTIFYING, ISOLATING AND ENRICHING FOR SUCH POPULATIONS DATE DUE APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE nonprovisional YES \$720 \$300 \$1020 05/05/2008 04/25/2008 HALI44 00000003 10649234 **EXAMINER** ART UNIT CLASS-SUBCLASS FC:2501 FC:1504 HAYES, ROBERT CLINTON 1649 435-368000 720.00 OP 2. For printing on the patent front page, list Mintz, Levin; 30. 10 erris, 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). (1) the names of up to 3 registered patent attorneys Glovsky and Popeo, PC ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, Avor R. Elrifi (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to ☐ "Fee Address" indication (or "Fee Address" Indication form 2 registered patent attorneys or agents. If no name is listed, no name will be printed. PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Christina K. Stock Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE StemCells California, Inc. Palo Alto, CA Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual 🖾 Corporation or other private group entity 🚨 Government 4a. The following fee(s) are submitted: 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) X Issue Fee A check is enclosed. Payment by credit card. Form PTO-2038 is attached. Dublication Fee (No small entity discount permitted) The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-0311 (enclose an extra copy of this form). 🔼 Advance Order - # of Copies ___ Ten (10) 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. April 23, 2008 Authorized Signature Christina K. Stock 45,899 Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.